



Attorney Ref. No.: 09800080-0027
Genentech Ref. No.: PR1868

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jeffrey L. Cleland, et al. DOCKET NO.: 09800080-0027
OLD DOCKET NO. 10466/286
SERIAL NO.: 10/080,502 GROUP ART UNIT: 1614
DATE FILED: February 22, 2002 EXAMINER: Not Yet Known
INVENTION: "ERODIBLE POLYMERS FOR INJECTION"



INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application.
This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$0.00 to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency in fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By , Reg. 38,591

Paul E. Rauch, Ph.D.

Sonnenschein, Nath & Rosenthal

P.O. Box 061080, Wacker Drive Station, Sears Tower
Chicago, Illinois 60606

Telephone (312) 876-8000

When phoning re this application,
please call (312) 876-7440

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office in Washington D.C. on Sept. 29, 2002.


Doris M. Paino-Brown

14242805v1

RECEIVED
OCT 0 9 2002
TECH CENTER 1600/2900



Attorney Ref. No.:
Genentech Ref. No.:

09800080-0027
PR1868

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jeffrey L. Cleland, et al. DOCKET NO.: 09800080-0027
OLD DOCKET NO. 10466/286
SERIAL NO.: 10/080,502 GROUP ART UNIT: 1614
DATE FILED: February 22, 2002 EXAMINER: Not Yet Known
INVENTION: "ERODIBLE POLYMERS FOR INJECTION"

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Washington, DC 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 Form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
------------------	---------------	-----------------	-------------------

II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
A1	WO 97/26015	WO	24 July 1997

III. SUBMITTED OTHER REFERENCES

REF.
NO. DESCRIPTION (includes author, title, date, and pages)

RECEIVED
OCT 09 2002
TECH CENTER 1600/2900


REMARKS

Reference AL was cited in PCT International Search Report of August 22, 2002 in counterpart PCT International Application No. PCT/US02/05445 filed February 22, 2002. A copy of the Search Report is also submitted herewith.

Submitted by,

 (Reg. 38,591)

Paul E. Rauch, Ph.D.
SONNENSCHNATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station
Sears Tower
Chicago, IL 60606-1080
Telephone: 312/876-8000
Attorneys for Applicant(s)



FORM PTO-1449	SERIAL NO.: 10/080,502	DOCKET NO. 09800080-0027 Genentech: PR1868
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE February 22, 2002	GROUP ART UNIT 1614
(use several sheets if necessary)	APPLICANT(S): Jeffrey L. Cleland, et al.	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A1	WO 97/26015	24-07-97	WO	A61K 47/44	X	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RECEIVED
 OCT 0 9 2002
 TECH CENTER 1600/2900

14242811v1

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.